## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Christopher J. Bulian et al.

Docket No.: S-100,500

Serial No.:

Examiner:

Filed

Art Unit:

For

PREPARATION OF TUNGSTEN OXIDE

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56, 1.97, AND 1.98

Sir:

The documents listed below, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR 1.56.

1. John A. Bailey et al., "Process For Producing Tungsten Oxide," U. S. Patent 5,911,965, issued June 15, 1999.

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

deposited with the United States Postal Service with sufficient postage as first class mail in a envelope addressed to the: Commissioner for Patents, P. O. Box 1450. Alexandria, VA 22313-1450.

Date July 28, 2003

**FACSIMILE** 

transmitted by facsimile to the United States Patent and Trademark Office.

Samuel L. Borkowsky

(type or print name of person certifying)

- 2. J. P. Cronin, D. J. Tarico, J. C. L. Tonazzi, A. Agrawal, and S. R. Kennedy, "Microstructure and Properties of Sol-Gel Deposited WO<sub>3</sub> Coatings for Large Area Electrochromic Windows," Solar Energy Materials and Solar Cells, vol. 29, pp. 371-386, 1993.
- 3. M. A. Reiche, P. Hug, and A. Baiker, "Effect of Grafting Sequence on the Behavior of Titania-Supported V<sub>2</sub>O<sub>5</sub>—WO<sub>3</sub> Catalysts in the Selective Reduction of NO by NH<sub>3</sub>," Journal of Catalysis, vol. 192, pp. 400-411, 2000.
- 4. Massayoshi Kaneyasu et al., "Gas Detecting Apparatus," U. S. Patent 4,586,143, issued April 29, 1986.
- 5. David Edward Williams et al., "Resistive Gas Sensing, Especially For Detection of Ozone," U. S. Patent 5,811,662, issued September 22, 1998.
- 6. Xusheng Wang, Norio Miura, and Noboru Yamazoe, "Study of WO<sub>3</sub>-Based Sensing Materials for NH<sub>3</sub>, and NO Detection," Sensors and Actuators B, vol. 66, pp. 74-76, 2000.
- 7. I. Ruokamo, T. Karkkainen, J. Huusko, T. Ruokanen, M. Blomberg, H. Torvela, and V. Lantto, "H<sub>2</sub>S Response of WO<sub>3</sub> Thin-Film Sensors Manufactured By Silicon Processing Technology," Sensors and Actuators B, vol. 18-19, pp. 486-488, 1994.
- 8. Marshall Leibowitz et al., "Method for Making Electrochromic Films Having Improved Etch Resistance," U. S. Patent 4,233,339, issued November 11, 1980.
- 9. Ismael Jimenez, Jordi Arbiol, Albert Cornet, and Joan Ramon Morante, "Structural and Gas-Sensing Properties of WO<sub>3</sub> Nanocrystalline Powders
  Obtained by a Sol-Gel Method From Tungstic Acid," IEEE Sensors Journal, vol.
  2, no. 4, pp. 329-335, August 2002.
- 10. Shahid Pirzada et al., "Method of Producing Nanoscale Powders By Quenching of Vapors," U. S. Patent 5,788,738, issued August 4, 1998.
- 11. M. Regragui, M. Addou, A. Outzourkit, J. C. Bernede, Elb. El Idrissi, E. Benseddik, and A. Kachouane, "Preparation and Characterization of Pyrolytic Spray Deposited Electrochromic Tungsten Trioxide Films," Thin Solid Films, vol. 358, pp. 40-45, 2000.

- 12. John P. Cronin et al., "Precursor Solutions for Forming Coatings," U. S. Patent 5,525,264, issued June 11, 1996.
- 13. Clint Bickmore et al., "Combustion of Emulsions: A Method and Process for Producing Fine Powders," U. S. Patent 5,984,997, issued November 16, 1999.
- 14. Morito Akiyama, Jun Tamaki, Norio Miura, and Noboru Yamazoe, "Tungsten Oxide-Based Semiconductor Sensor Highly Sensitive to NO and NO<sub>2</sub>," Chemistry Letters, pp. 1611-1614, 1991.
- 15. M. Gotic, M. Ivanda, S. Popovic, and S. Music, "Synthesis of Tungsten Trioxide Hydrates and Their Structural Properties," Materials Science and Engineering, vol. B77, pp. 193-201, 2000.
- 16. Cs. Balazsi, M. Farkas-Jahnke, I. Kotsis, L. Petras, and J. Pfeifer, "the Observation of Cubic Tungsten Trioxide at High-Temperature Dehydration of Tungstic Acid Hydrate," Solid State Ionics, vol. 141-142, pp. 411-416.
- 17. Cs. Balazsi, "Development of Tungsten Oxide Hydrate Phases During Precipitation-Washing-Ripening Process," Materials Structure, vol. 6, num. 6, pp. 135-139, 1999.

This Information Disclosure Statement is not to be construed as a representation that a search has been made or that additional matter material to the examination of this application does not exist. Applicant does not believe that any of these citations constitutes prior art under 35 U.S.C. 102.

It is requested that the above citations be made of record in the prosecution of this application.

Respectfully submitted,

Date: <u>July 28 200</u>

Reg. No. 42,346

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Signature of Agent

Samuel L. Borkowsky

Los Alamos National Laboratory

LC/IP, MS A187

Los Alamos, New Mexico 87545

Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office										Attorney Docket	No.	Serial No.			
												S-100,500				
	INFORMATION DISCLOSURE											Applicant(s)				
	STATEMENT BY APPLICANT										Christopher J. Bulian et al.					
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INITIAL		NUMBER DATE							CLASS	DATE						
		5	5   9	9	1	1	9	6	5	06/15/1999	John A.	Bailey et al.	423	606	01/23/	1998
		4	1	5	8	6	1	4	3	04/29/1986	Masayos et al.	shi Kaneyasu	364	509	01/28/	1983
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FOREIGN PATENT DOCUMENTS																
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	J. P. Cronin, D. J. Tarico, J. C. L. Tonazzi, A. Agrawal, and S. R. Kennedy, "Microstructure and Properties of Sol-Gel Deposited WO <sub>3</sub> Coatings for Large Area Electrochromic Windows," Solar Energy Materials and Solar Cells, vol. 29, pp. 371-386, 1993															
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(Modified)	Patent and Trademark Office							
		S-100,500						
	INFORMATION DISCLOSURE	Applicant(s)						
	STATEMENT BY APPLICANT	Christopher J. Bulian et al.						
37 CFR 1.98(b)		Filing Date	Group					
3.7	OTHER DOCUMENTS (Including Author, Title	e, Date, Place of Publication)						
	I. Ruokamo, T. Karkkainen, J. Huusko, T. Ruokanen, M. Blomberg, H. Torvela, and V. Lantto, "H <sub>2</sub> S Response of WO <sub>3</sub> Thin-Film Sensors Manufactured By Silicon Processing Technology," Sensors and Actuators B, vol. 18-19, pp. 486-488, 1994							
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